



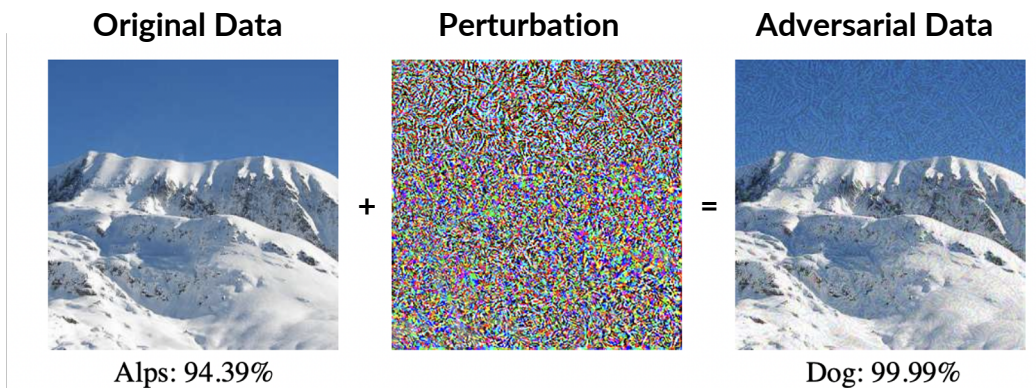
# Adversarial Attack and Defense

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YouTube Video Link: <https://youtu.be/maMC93Lf-mY>

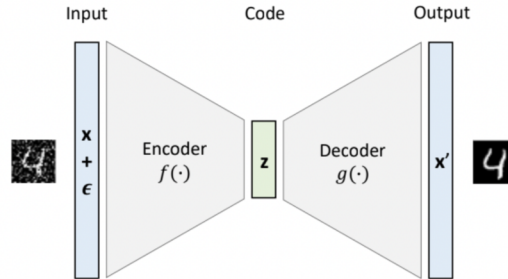
# Introduction

- **Adversarial Examples** input data with an imperceptible change
- **Adversarial Examples** = Original data ( $x$ ) + Perturbation with noise ( $\epsilon$ )
- **Adversarial Attack** induce misclassification in purpose to make machine learning models more **ROBUST**



## Course related material

**Stacked Denoising Autoencoder** = The **NOISY INPUT** will be inputted to denoising autoencoder, which will learn how to recover the original input ( $x$ ). Such method will help to create a **MORE ROBUST CODE**, so that the model will **NOT BE SENSITIVE TOWARDS NOISY INPUTS**.



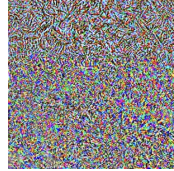
# Real-life adversarial attack example

(1) INPUT



Original Data

+

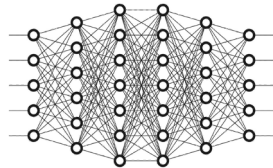


Noise

(2) ADVERSARIAL  
EXAMPLE



(3) DEEP LEARNING  
MODEL



"Green"

(4) OUTPUT

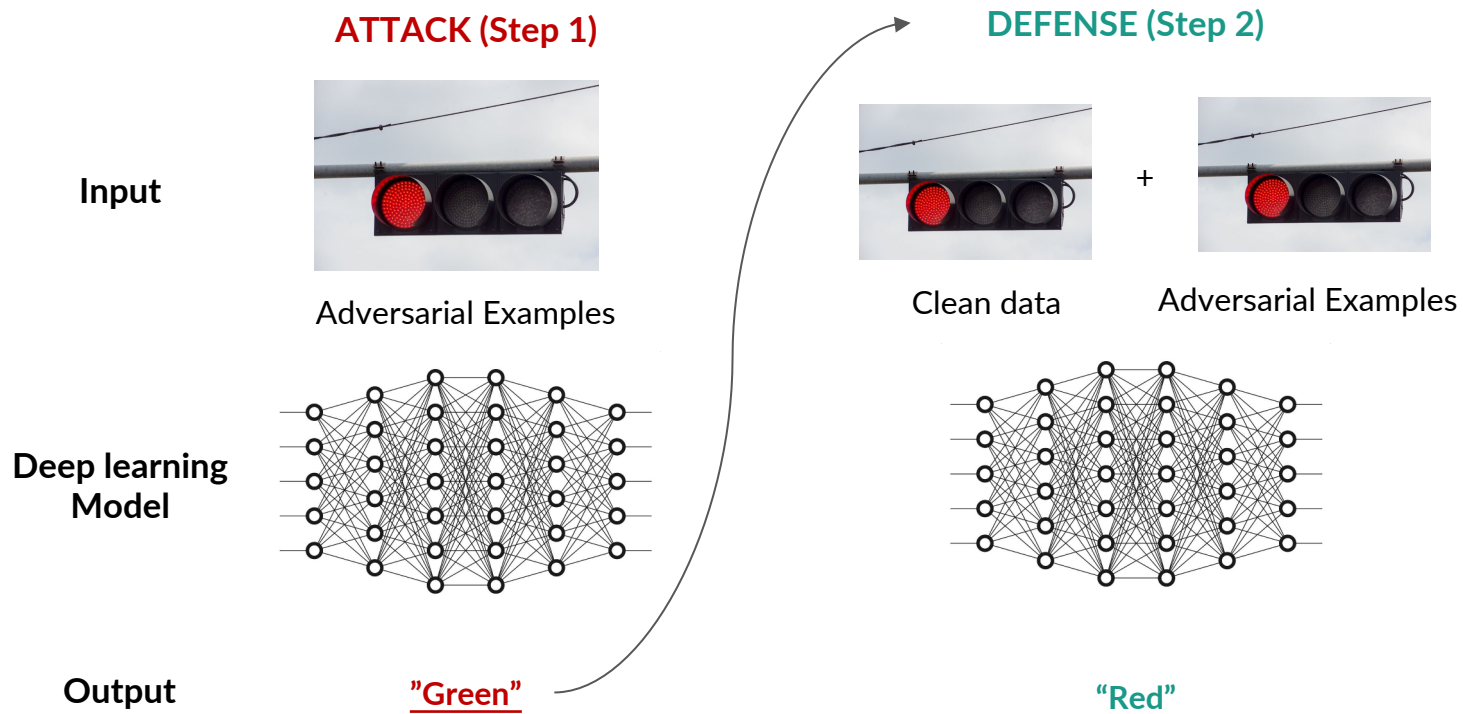
MOVE  
FORWARD

(5) ACTION



(6) CONSEQUENCE

# Adversarial Defense

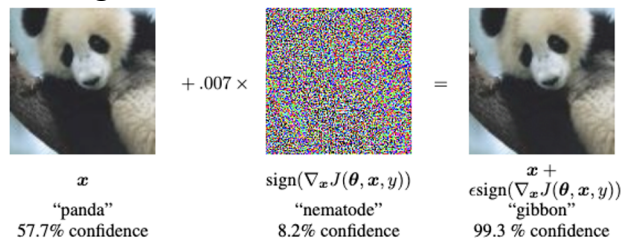


# Representative models/algorithms

- *Explaining and Harnessing Adversarial Examples (2015)*

- Ian.J.Goodfellow, Jonathon Shlens & Christian Szegedy

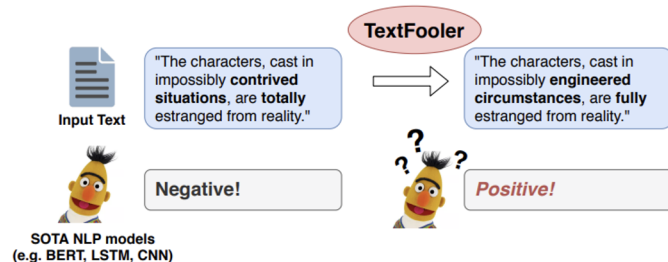
(Image Credit: (Goodfellow et al. 2014b))



- *Is BERT Really Robust? A Strong Baseline for Natural Language Attack on Text Classification and Entailment*

- Di Jin, Zhijing Jin, Joey Tianyi Zhou, Peter Szolovits

Classification Task: Is this a *positive* or *negative* review?



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# Explaining and Harnessing Adversarial Examples

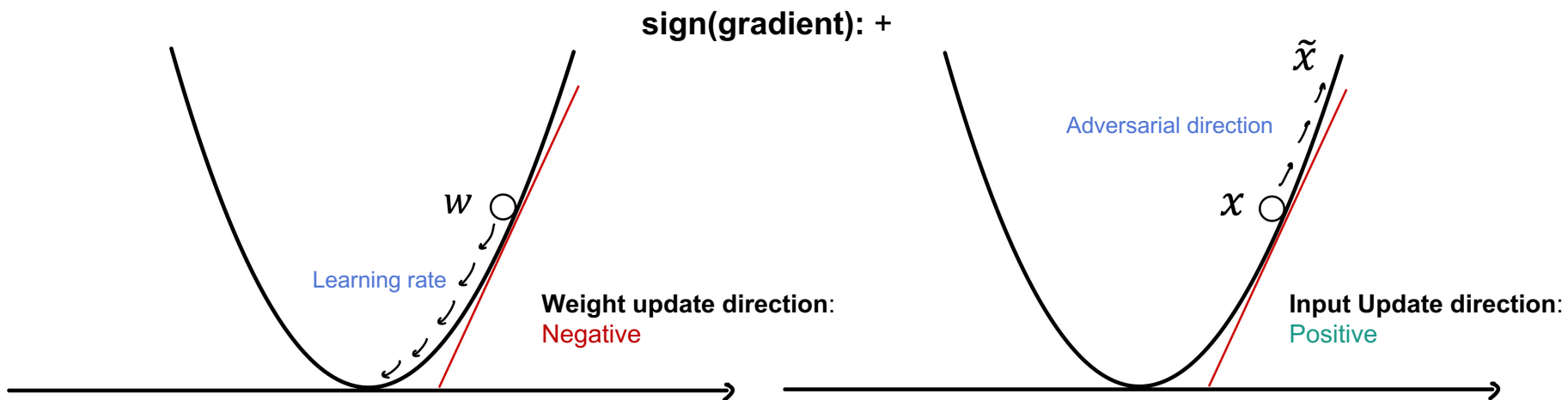
# Fast Gradient Sign Method (FGSM)

- Gradient Descent Method

OPPOSITE direction of the gradient of the cost function

- Fast Gradient Sign Method (FGSM)

SAME direction of the gradient of the cost function







## How adversarial example is formed

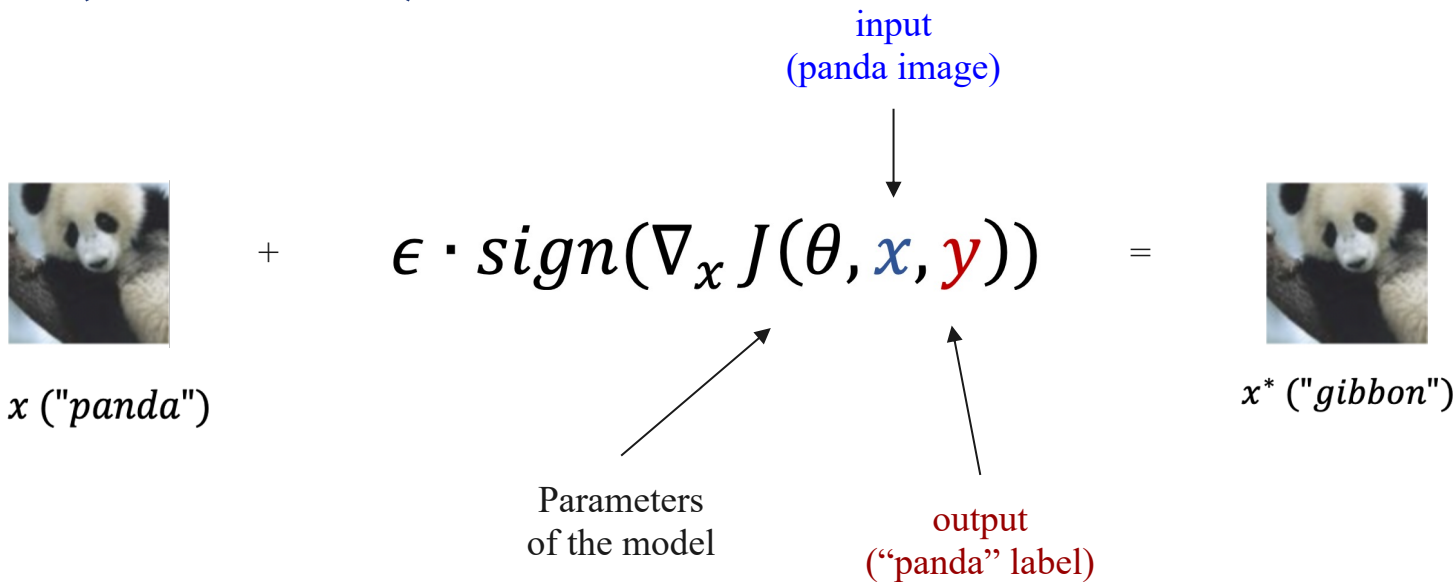
$$x + \epsilon \cdot \text{sign}(\nabla_x J(\theta, x, y))$$

Cost Function

Gradient

Adversarial Example

## FGSM (Continued)





## Mathematical Notation and Concepts

activation value  
(with perturbation)  $\longrightarrow$   $w^T \tilde{x} = w^T x + w^T \eta$   $\longleftarrow$  activation growth

$\uparrow$   
original desired output

perturbation  $\longrightarrow$   $\eta = \epsilon \cdot \text{sign}(\nabla_{x^*} J(\theta, x, y))$

# Deciding perturbation

FGSM uses the "max norm constraint":

*(In all definitions,  $x = (x_1, x_1, \dots, x_n)$ )*

$$L^\infty \text{ distance: } \|x\|_\infty = \max_{1 \leq i \leq n} |x_i|$$

$L^\infty$  : moving as many pixels as possible but only by a small number

$$L^1 \text{ distance: } \|x\|_1 = \sum_{i=1}^n |x_i|$$

$L^1$  : summed absolute value difference between  $x$  and  $x^*$

## Example 1: 1-Dimensional Calculation

$$w^T \tilde{x} = w^T x + w^T \eta = w^T (x + \eta)$$

$$\begin{array}{c} x \\ \left( \begin{array}{c} 3 \\ -2 \\ 5 \end{array} \right) \end{array} * \begin{array}{c} w \\ \left( \begin{array}{c} 7 \\ 10 \\ 20 \end{array} \right) \end{array} = \begin{array}{c} w^T x \\ \left( \begin{array}{c} 21 \\ -20 \\ 100 \end{array} \right) \end{array} \Rightarrow 101$$

activation value  
(**WITHOUT** perturbation)

$$\eta = \text{sign}(w)$$
$$\text{sign}\left(\left(\begin{array}{c} 7 \\ 10 \\ 20 \end{array}\right)\right) = \left(\begin{array}{c} 1 \\ 1 \\ 1 \end{array}\right)$$

$$\left[ \begin{array}{c} x \\ \left( \begin{array}{c} 3 \\ -2 \\ 5 \end{array} \right) \end{array} + \begin{array}{c} \eta \\ \left( \begin{array}{c} 1 \\ 1 \\ 1 \end{array} \right) \end{array} \right] * \begin{array}{c} w \\ \left( \begin{array}{c} 7 \\ 10 \\ 20 \end{array} \right) \end{array} = \begin{array}{c} w^T \tilde{x} \\ \left( \begin{array}{c} 28 \\ -10 \\ 120 \end{array} \right) \end{array} \Rightarrow 138$$

activation value  
(**WITH** perturbation)

## Example 2: 3-Dimensional Calculation

$$x + \epsilon \cdot \text{sign}(\nabla_x J(\theta, x, y))$$

$$\text{sign}(w_x) \rightarrow \text{POSITIVE}$$

$$\text{sign}(w_y) \rightarrow \text{NEGATIVE} \times \epsilon_{vector} = -\epsilon + x_{vector}$$

$$\text{sign}(w_z) \rightarrow \text{POSITIVE}$$

$$+\epsilon$$

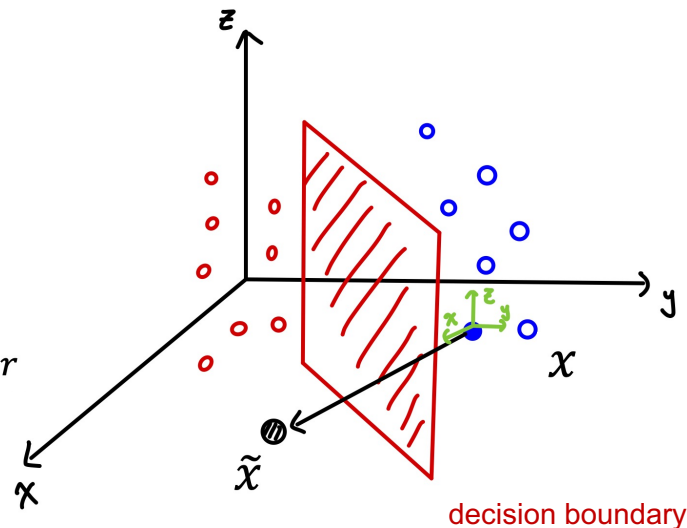
$$-\epsilon$$

$$+$$

$$x_{vector}$$

$$+\epsilon$$

$$= x^*_{vector}$$





## Adversarial Defense (FGSM)

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$$\underset{(3)}{\tilde{J}(\theta, x, y)} = \alpha \cdot \underset{(1)}{J(\theta, x, y)} + (1 - \alpha) \cdot \underset{(2)}{J(\theta, \tilde{x}, y)}$$

$\alpha$  : proportion to use between the original data and the adversarial example

(1)  $\tilde{J}(\theta, x, y)$  : cost function of the original data

(2)  $J(\theta, \tilde{x}, y)$  : cost function of the adversarial example

(3)  $J(\theta, x, y)$  : cost function of both original data AND adversarial example

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# Adversarial Attack in Natural Language Processing





# Hardship of natural language adversarial attack

## Image domain (CONTINUOUS values)

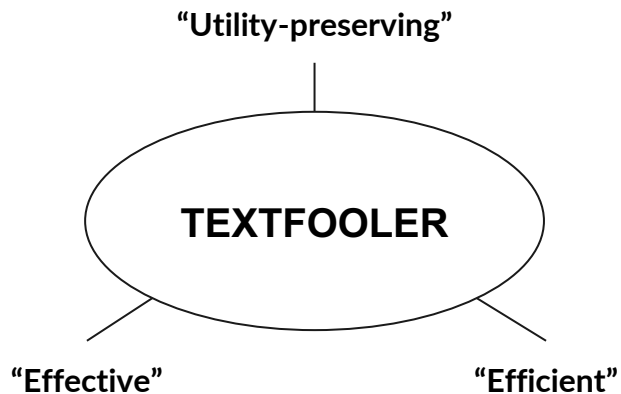
Adding a minimal noise to the pixels is not noticeable through naked eyes

## Text domain (DISCRETE values)

The difference between the original text and the adversarial example is easily recognizable

# Introduction

- Proposing *TextFooler*

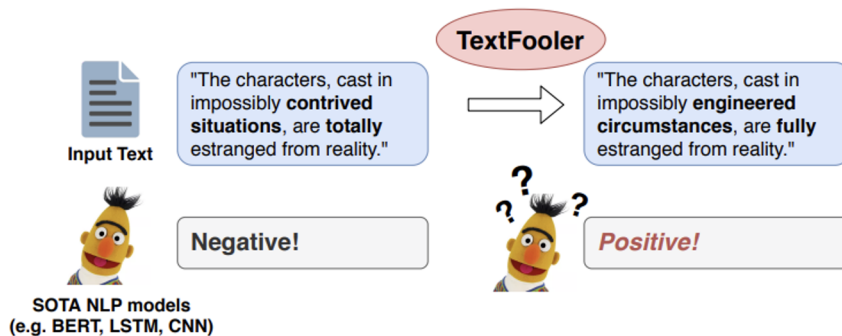


- How to test such the robustness?

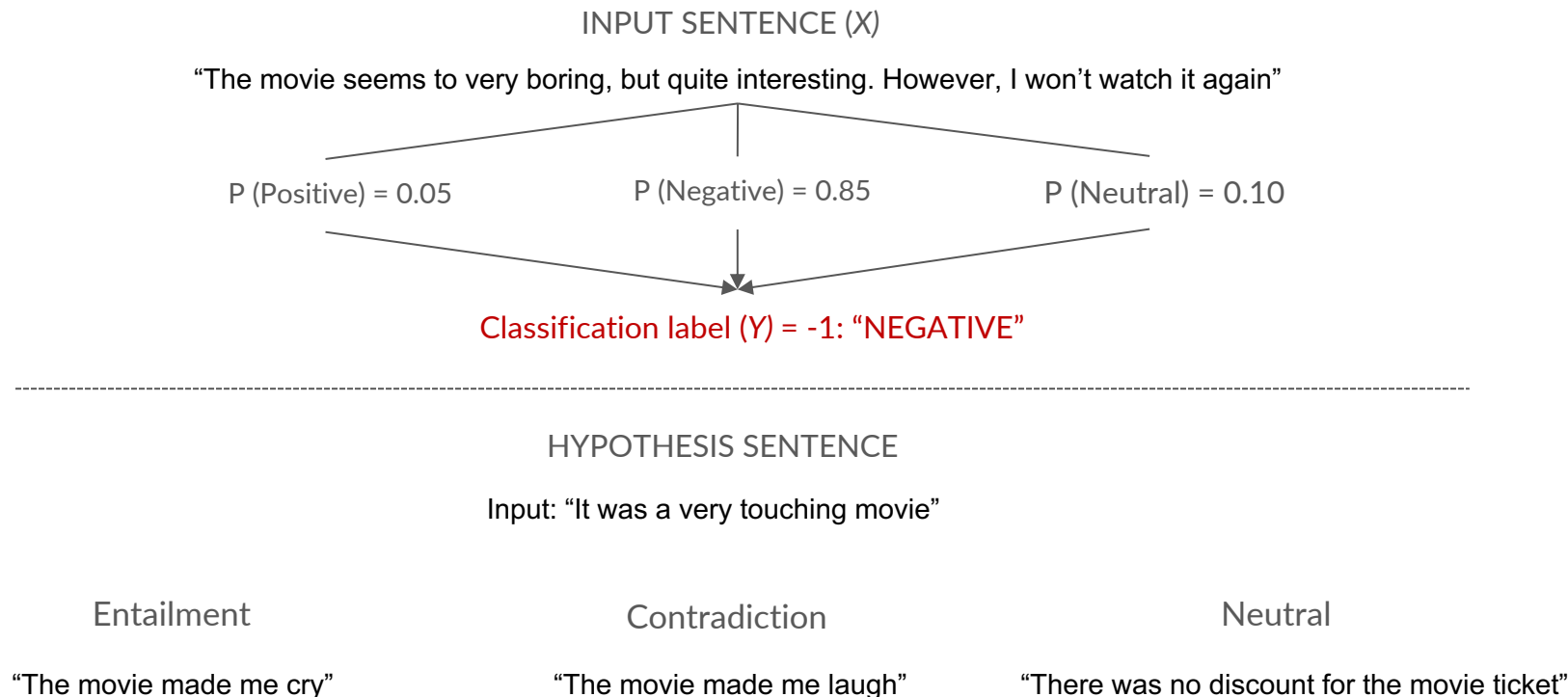
Models: 1. WordLSTM 2. WordCNN 3. BERT

Task: 5 classification tasks and 2 textual entailment tasks

Classification Task: Is this a *positive* or *negative* review?



# Classification & Recognizing Text Entailment (NLP tasks)



# Forming text adversarial example

- Text adversarial example need to meet the following requirement:

$$\underline{F(X_{\text{adv}})} \neq \underline{F(X)}, \text{ and } \underline{\text{Sim}(X_{\text{adv}}, X)} \geq \underline{\epsilon},$$

Classification result  
of adversarial text

Classification result  
of original data

Semantic similarity  
between  $X_{\text{adv}}$  and  $X$

Minimum  
similarity

# TEXTFOOLER Attack Algorithm

Steps:

1. Word Importance Score
2. Word Transformer
  - a. Adversarial example candidates POS (Part of Speech) checking
  - b. Semantic Similarity Filter
  - c. Finalizing Adversarial Example

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## Algorithm 1 Adversarial Attack by TEXTFOOLER

**Input:** Sentence example  $X = \{w_1, w_2, \dots, w_n\}$ , the corresponding ground truth label  $Y$ , target model  $F$ , sentence similarity function  $\text{Sim}(\cdot)$ , sentence similarity threshold  $\epsilon$ , word embeddings  $\text{Emb}$  over the vocabulary  $\text{Vocab}$ .

**Output:** Adversarial example  $X_{\text{adv}}$

```
1: Initialization:  $X_{\text{adv}} \leftarrow X$ 
2: for each word  $w_i$  in  $X$  do
3:   Compute the importance score  $I_{w_i}$  via Eq. (2)
4: end for
5:
6: Create a set  $W$  of all words  $w_i \in X$  sorted by the descending
   order of their importance score  $I_{w_i}$ .
7: Filter out the stop words in  $W$ .
8: for each word  $w_j$  in  $W$  do
9:   Initiate the set of candidates CANDIDATES by extracting
     the top  $N$  synonyms using  $\text{CosSim}(\text{Emb}_{w_j}, \text{Emb}_{\text{word}})$  for
     each word in  $\text{Vocab}$ .
10:  CANDIDATES  $\leftarrow \text{POSSFilter}(\text{CANDIDATES})$ 
11:  FINCANDIDATES  $\leftarrow \{ \}$ 
12:  for  $c_k$  in CANDIDATES do
13:     $X' \leftarrow \text{Replace } w_j \text{ with } c_k \text{ in } X_{\text{adv}}$ 
14:    if  $\text{Sim}(X', X_{\text{adv}}) > \epsilon$  then
15:      Add  $c_k$  to the set FINCANDIDATES
16:       $Y_k \leftarrow F(X')$ 
17:       $P_k \leftarrow F_{Y_k}(X')$ 
18:    end if
19:  end for
20:  if there exists  $c_k$  whose prediction result  $Y_k \neq Y$  then
21:    In FINCANDIDATES, only keep the candidates  $c_k$  whose
      prediction result  $Y_k \neq Y$ 
22:     $c^* \leftarrow \underset{c_k \in \text{FINCANDIDATES}}{\text{argmax}} \text{Sim}(X, X'_{w_j \rightarrow c})$ 
23:     $X_{\text{adv}} \leftarrow \text{Replace } w_j \text{ with } c^* \text{ in } X_{\text{adv}}$ 
24:    return  $X_{\text{adv}}$ 
25:  else if  $P_{Y_k}(X_{\text{adv}}) > \min_{c_k \in \text{FINCANDIDATES}} P_k$  then
26:     $c^* \leftarrow \underset{c_k \in \text{FINCANDIDATES}}{\text{argmin}} P_k$ 
27:     $X_{\text{adv}} \leftarrow \text{Replace } w_j \text{ with } c^* \text{ in } X_{\text{adv}}$ 
28:  end if
29: end for
30: return None
```

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# 1. Word Importance Ranking

“Measuring the influence the word,  $w_i$ ”

$$I_{w_i} = \begin{cases} \underline{F_Y(X)} - F_Y(X \setminus w_i), & \text{if } F(X) = F(\underline{X \setminus w_i}) = Y \\ (F_Y(X) - F_Y(X \setminus w_i)) + (F_{\bar{Y}}(X \setminus w_i) - \underline{F_{\bar{Y}}(X)}), & \text{if } F(X) = Y, F(X \setminus w_i) = \bar{Y}, \text{ and } Y \neq \bar{Y}. \end{cases}$$

Classification output      Input without the word,  $w_i$       Two different labels

“Prediction change before, and after the word,  $w_i$ ”

## a. Candidates and POS Checking

**Output:** Adversarial example  $X_{\text{adv}}$

1: Initialization:  $X_{\text{adv}} \leftarrow X$

2: **for** each word  $w_i$  in  $X$  **do**

3: (1) Compute the importance score  $I_{w_i}$  via Eq. (2)

4: **end for**

8: **for** each word  $w_j$  in  $W$  **do** (3)

9: Initiate the set of candidates CANDIDATES by extracting the top  $N$  synonyms using  $\text{CosSim}(\text{Emb}_{w_j}, \text{Emb}_{\text{word}})$  for each word in Vocab. (2)

10:  $\text{CANDIDATES} \leftarrow \text{POSFilter}(\text{CANDIDATES})$  (4)

(1): Process importance score for every word in the sentence example

(2): Cosine Similarity Score between the Embedding(deleting word) and Embedding(Vocab)

(3): Extract top N synonyms and append to CANDIDATES list

(4): Check POS (Part of Speech) for every candidate word and filter

## b. Semantic Similarity Filter

(3) Cosine Similarity between  $X$  (original sentence) and  $X_{adv}$  (Adversarial Example)

```
11: FINCANDIDATES  $\leftarrow \{ \}$ 
12: for  $c_k$  in CANDIDATES do (2)
13: (1)  $X' \leftarrow$  Replace  $w_j$  with  $c_k$  in  $X_{adv}$ 
14: if  $\underline{Sim(X', X_{adv})} > \epsilon$  then (4)
15: (3) Add  $c_k$  to the set FINCANDIDATES
16:    $Y_k \leftarrow F(X')$ 
17:    $P_k \leftarrow F_{Y_k}(X')$ 
18: end if
19: end for
```

(4) Words with similarity score  $> \epsilon$  (defined by the programmer) will be stored in **FINCANDIDATES** list

(1) Substitute that specific word in the sentence with each of the words in the **CANDIDATES**

(2) Such sentence becomes  $X_{adv}$  (Adversarial Example)



## c. Finalizing the Adversarial Example

In the descending order of similarity scores, replace the word:

```
(1)
20: if there exists  $c_k$  whose prediction result  $Y_k \neq Y$  then
21:   In FINCANDIDATES, only keep the candidates  $c_k$  whose
     prediction result  $Y_k \neq Y$ 
22:    $c^* \leftarrow \operatorname{argmax}_{c \in \text{FINCANDIDATES}} \operatorname{Sim}(X, X'_{w_j \rightarrow c})$ 
23:    $X_{\text{adv}} \leftarrow$  Replace  $w_j$  with  $c^*$  in  $X_{\text{adv}}$ 
24:   return  $X_{\text{adv}}$ 
25: else if  $P_{Y_k}(X_{\text{adv}}) > \min_{c_k \in \text{FINCANDIDATES}} P_k$  then
26:    $c^* \leftarrow \operatorname{argmin}_{c_k \in \text{FINCANDIDATES}} P_k$ 
27:    $X_{\text{adv}} \leftarrow$  Replace  $w_j$  with  $c^*$  in  $X_{\text{adv}}$ 
28: end if
29: end for
30: return None (2)
```

(1) IF the prediction of the target model changes:

- Within those candidates that changed the output of the target model
- Select the word that had the highest similarity score between  $X$  and  $X_{\text{adv}}$ .

(2) ELSE IF choose the word with the least confidence level  
(word that is most likely to change the prediction of the model)

- Prediction changed  $\rightarrow$  Attack Success!

Run through this process in the descending order of importance score of each word.

# Summary

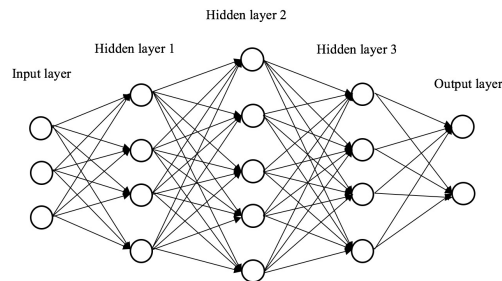
Adversarial **ATTACK**

Adversarial **TRAINING**

**ROBUST**  
deep learning model



$x^*$  ("gibbon")



A Deep Learning Model



$x$  ("panda")

# Reference



- Attack & Defense (1): Goodfellow, Ian J., Jonathon Shlens, and Christian Szegedy. "Explaining and harnessing adversarial examples." *arXiv preprint arXiv:1412.6572* (2014).
- Attack & Defense (2): Jin, Di, et al. "Is bert really robust? a strong baseline for natural language attack on text classification and entailment." *Proceedings of the AAAI conference on artificial intelligence*. Vol. 34. No. 05. 2020.
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- Cho, Yoon Sang. "Adversarial Attacks and Defenses in Deep Learning." *Adversarial Attacks and Defenses in Deep Learning*, 2020, pp. 5–32, [dmqm.korea.ac.kr/activity/seminar/289](http://dmqm.korea.ac.kr/activity/seminar/289).



# Thank you!

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